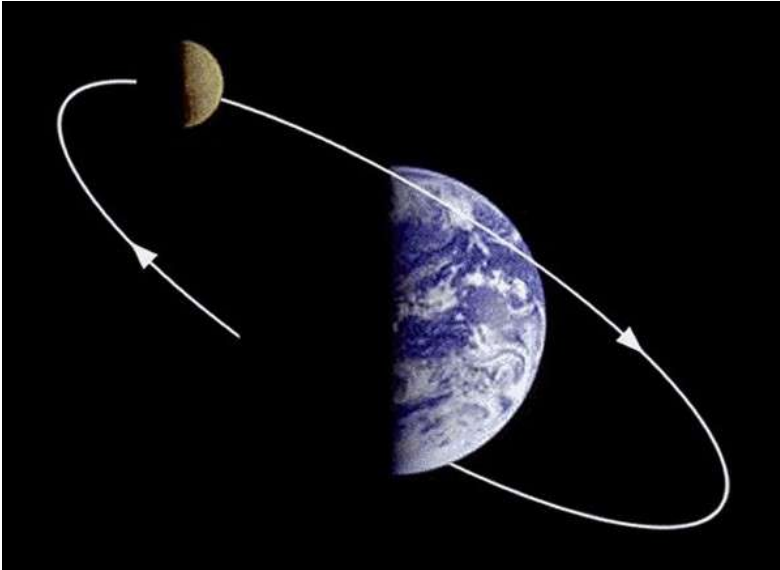


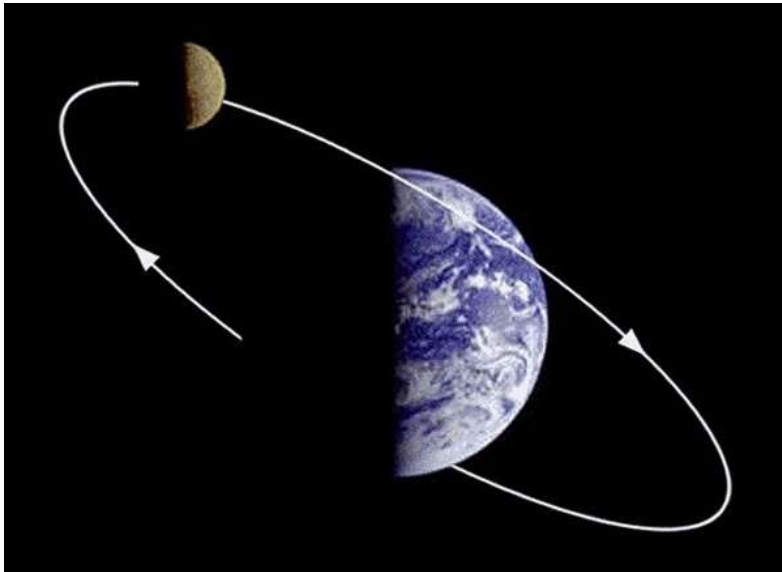
# Many-body theory: Concepts

Gareth Conduit

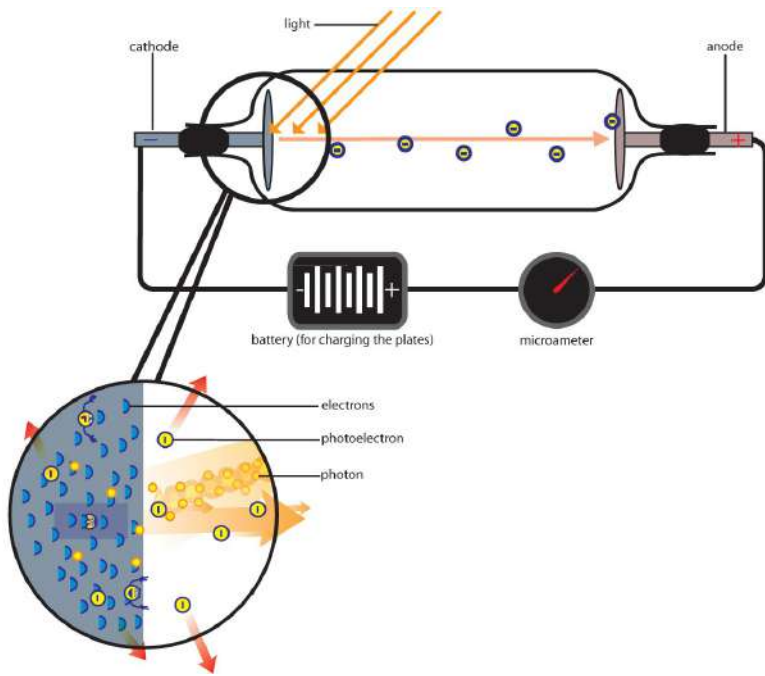
# Characteristics of a many-body interacting system



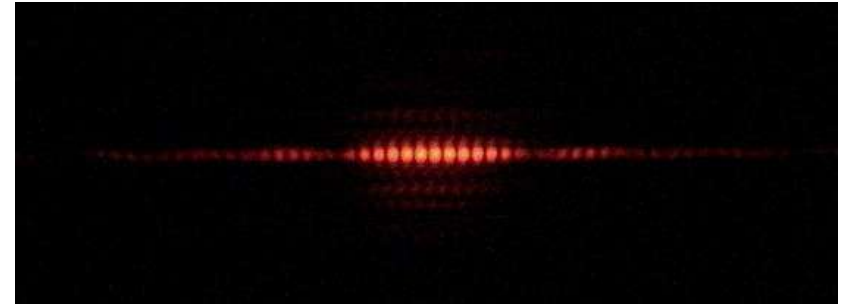
# Characteristics of a many-body interacting system



# Characteristics of a quantum system



Photoelectric effect



Electron diffraction

# Electrons: many-body interacting and quantum



$10^{27}$  interacting electrons

Fermi temperature 30,000K

# Electrons: Hamiltonian

$$\begin{aligned}\hat{H} = & \sum_{i=1}^N \frac{\hat{p}_i^2}{2m} + \sum_{i=1}^M \frac{\hat{P}_i^2}{2m} \\ & + \sum_{i=1}^N \sum_{j<i} \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|} \\ & + \sum_{i=1}^N \sum_{j=1}^M \frac{Z_j e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{R}_j|} \\ & + \sum_{i=1}^M \sum_{j<i} \frac{Z_i Z_j e^2}{4\pi\epsilon_0 |\vec{R}_i - \vec{R}_j|}\end{aligned}$$

$\hat{p}_i, \vec{r}_i$

Momentum and  
position of electron  $i$

$\hat{P}_i, \vec{R}_i$

Momentum and  
position of ion  $i$

$N, M$

Number of electrons  
and ions

# Ultracold atomic gas: many-body interacting and quantum



$10^7$  interacting atoms

$0.1 T_F$

# Ultracold atomic gas: Hamiltonian

$$\hat{H} = \sum_{i=1}^N \frac{\hat{p}_i^2}{2m} + \sum_{i=1}^N V(\vec{r}_i) + \sum_{i=1}^N \sum_{j<i} g \delta(|\vec{r}_i - \vec{r}_j|)$$

$\hat{p}_i, \vec{r}_i$	Momentum and position of atom $i$
$V$	External potential
$g$	Interaction strength



# Energy stored in an elastic band

Potential energy in elastic band

$$E = \frac{1}{2} kx^2 = \frac{1}{2} Fx = \frac{1}{2} 10 \times 0.1 = 0.5 \text{ J}$$

# Energy stored in an elastic band

Potential energy in elastic band

$$E = \frac{1}{2} kx^2 = \frac{1}{2} Fx = \frac{1}{2} 10 \times 0.1 = 0.5 \text{ J}$$

Kinetic energy in handgun bullet

$$E = \frac{1}{2} mv^2 = \frac{1}{2} 0.005 \times 300^2 = 225 \text{ J}$$

# Energy stored in an elastic band

Potential energy in elastic band

$$E = \frac{1}{2} kx^2 = \frac{1}{2} Fx = \frac{1}{2} 10 \times 0.1 = 0.5 \text{ J}$$

Kinetic energy in handgun bullet

$$E = \frac{1}{2} mv^2 = \frac{1}{2} 0.005 \times 300^2 = 225 \text{ J}$$

Potential energy in enormous band

$$E = \frac{1}{2} kx^2 = \frac{1}{2} Fx = \frac{1}{2} 100 \times 5 = 250 \text{ J}$$

# Questions about solid state systems

## **Chemical and structural properties**

Why does carbon form diamond, graphene, nanotube, or buckyballs?

## **Electrical properties**

Why do some metals superconduct at low temperatures?

## **Optical properties**

How does photosynthesis occur?

## **Magnetic properties**

Why do high  $T_c$  superconductors display magnetic order?

# Approaches to study the system

**Analytical**  
Provides  
microscopic  
insights

$$\begin{aligned}\hat{H} = & \sum_{i=1}^N \frac{\hat{p}_i^2}{2m} + \sum_{i=1}^M \frac{\hat{P}_i^2}{2m} \\ & + \sum_{i=1}^N \sum_{j<i} \frac{e^2}{4\pi\epsilon_0|\vec{r}_i - \vec{r}_j|} \\ & + \sum_{i=1}^N \sum_{j=1}^M \frac{Z_j e^2}{4\pi\epsilon_0|\vec{r}_i - \vec{R}_j|} \\ & + \sum_{i=1}^M \sum_{j<i} \frac{Z_i Z_j e^2}{4\pi\epsilon_0|\vec{R}_i - \vec{R}_j|}\end{aligned}$$

**Computational**  
More general  
Complementary  
approximations

**Experimental**  
Exact  
solution

# Experiments: determining the ground state

## **Lattice of a solid**

It is hard to predict from the many-electron Hamiltonian that copper crystallizes in a fcc crystal structure. Computational techniques can compare the energy of fcc Cu to bcc Cu, but cannot exclude other structures. X-ray diffraction experiments show that Cu crystallizes in a fcc structure, providing a platform for theoretical analysis.

## **Dominant terms in the Hamiltonian**

There is currently no satisfactory theoretical understanding of the phenomenon of high- $T_c$  superconductivity, but experimental techniques have delivered a lot of clues (and some red herrings) about how the physics of high- $T_c$  materials differs from that of ordinary superconductors.

## **New strongly correlated effects**

Within condensed matter physics, there is a very exciting interplay between experiment and theory: Sometimes theory is first to predict effects, often experiments discover interesting novel phenomena which then stimulate theoretical explanations, and may lead to general advances in understanding of many-body physical phenomena.

# Experiments: probing the system response

Experimental stimulus promotes the system from its ground state into an excited state, and the response provides insights into the underlying microscopic properties

Response	Stimulus
Electrical	Applied electric field
Optical absorption	Electromagnetic wave
Temperature response	Heat flux
Magnetic moment	External magnetic field
Attenuation of sound waves	External source of sound

# Computational approaches

## **Independent-electron approximation**

Treats each electron as if it is moving in a periodic effective potential created by the ion cores

Neglect electron-electron correlations

## **Hartree-Fock theory**

Includes “exchange” related correlations between electrons by forcing the wave function to be a single Slater determinant of optimal single-particle orbitals

Electrons of opposite spin remain uncorrelated



# Computational approaches

## **Density functional theory**

Includes both exchange and other correlations between valance electrons.

Correlations included by approximating the electron density as being locally uniform

## **Quantum Monte Carlo methods**

Use the Monte Carlo method to handle the many-dimensional integrals that arise

Takes almost full account of electron-electron correlations

## **Exact diagonalization**

Compute and diagonalize over all matrix elements

Captures all electron-electron correlations within limits of the basis set size

# Analytical: emergent phenomena

Adopt a complementary approach to the reductionist approach of other areas of physics. Identify key organizing principles on the relevant macroscopic length scale inspired by experiment.

**Complex phenomena** can emerge from very simple sets of rules, for example Conway's life

# Analytical: example of emergent phenomena

## For a space that is populated

Cell with one or no neighbors dies

Cell with four or more neighbors dies

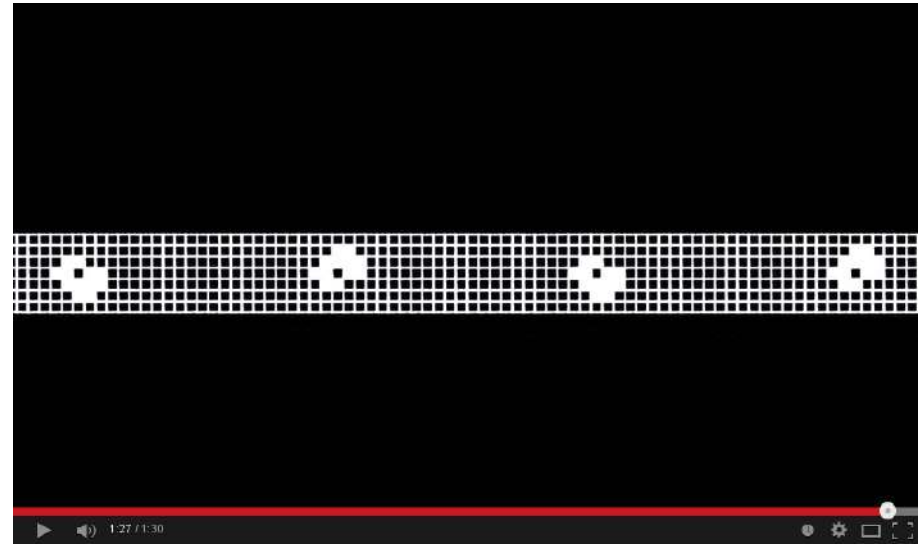
## For a space that is unpopulated

Cell with three neighbors becomes populated



# Analytical: critical phenomena

**Critical phenomena:** length scales diverge near to transitions  
insensitive to microscopic properties



# Analytical: critical phenomena



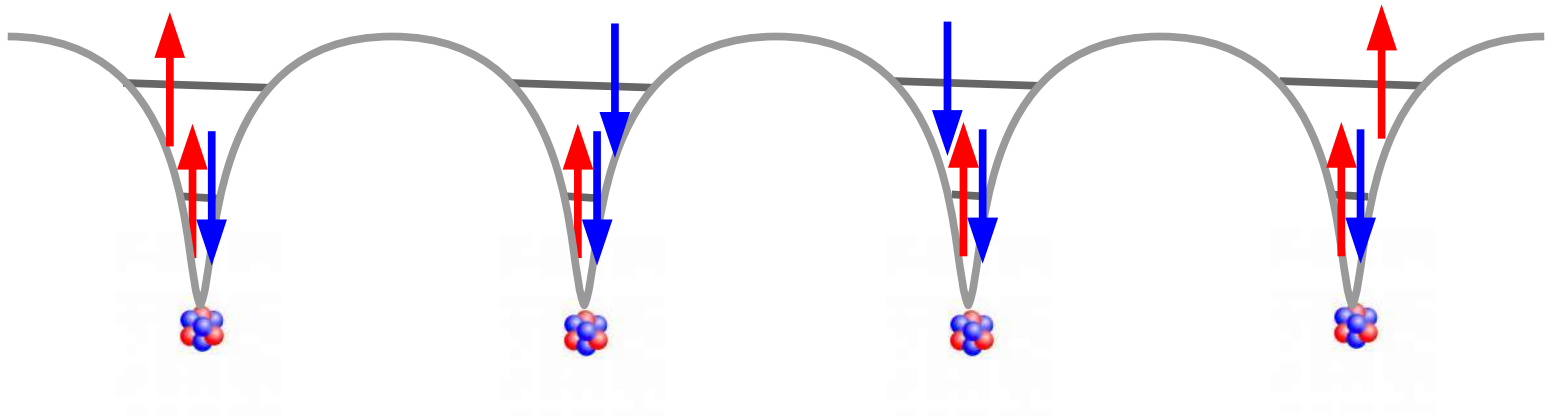
# Analytical: construction of models

Solutions to the Schrödinger equation, whether numerical or exact, can be very hard to interpret or even connect with observed phenomena.

Phenomenological models that capture the essential physics of the system whilst blocking out non-relevant features often provide deeper, more applicable and predictive insight into a particular problem.

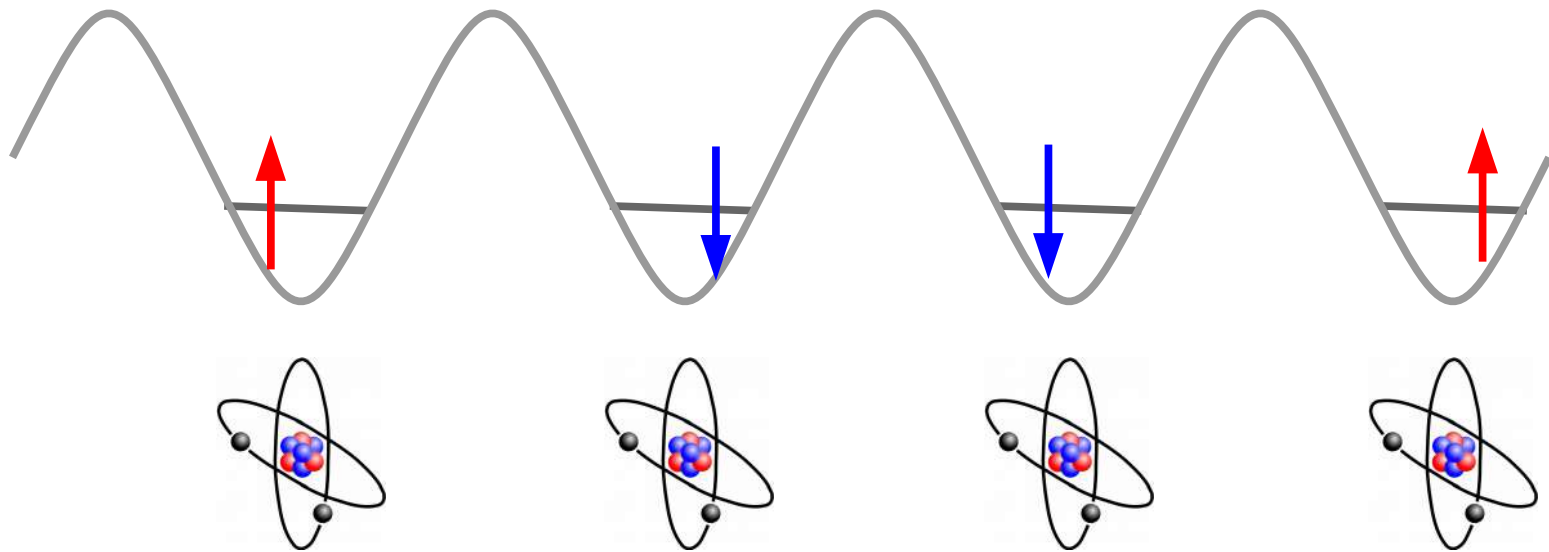
Models can be justified from first principles, and parameters determined by experiment.

# Analytical: constructing a model



$$\hat{H} = \sum_{i=1}^N \frac{\hat{p}_i^2}{2m} + \sum_{i=1}^M \frac{\hat{P}_i^2}{2m} + \sum_{i=1}^N \sum_{j<i} \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|} \\ + \sum_{i=1}^N \sum_{j=1}^M \frac{Z_j e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{R}_j|} + \sum_{i=1}^M \sum_{j<i} \frac{Z_i Z_j e^2}{4\pi\epsilon_0 |\vec{R}_i - \vec{R}_j|}$$

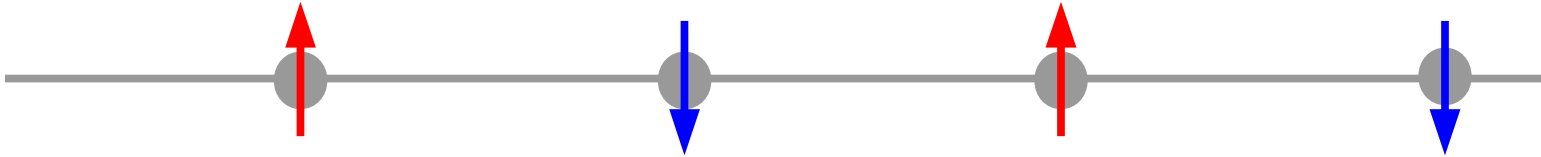
# Analytical: constructing a model



$$\hat{H} = \sum_{i=1}^N \frac{\hat{p}_i^2}{2m} + \sum_{i=1}^N \sum_{j<i} \frac{e^2}{4\pi\epsilon_0|\vec{r}_i - \vec{r}_j|} + \sum_{i=1}^N V(\vec{r}_i)$$



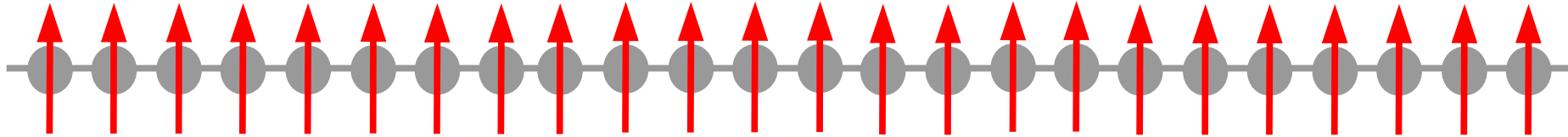
# Analytical: constructing a model



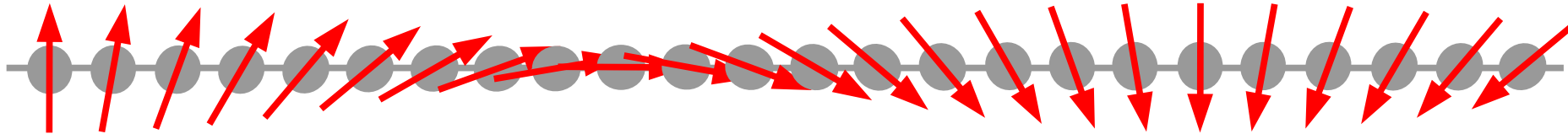
$$\hat{H} = -J \sum_{i=1}^N \hat{\mathbf{S}}_i \cdot \hat{\mathbf{S}}_{i+1}$$

# Collective excitations

## Ground state



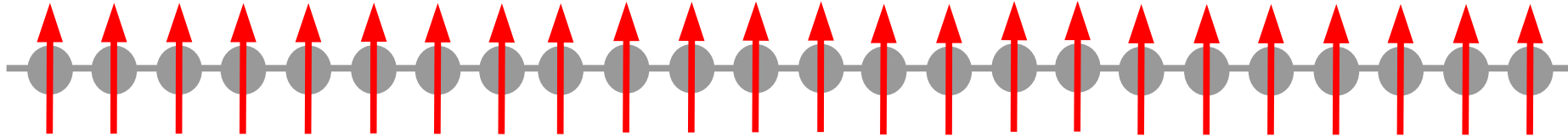
## Spin wave



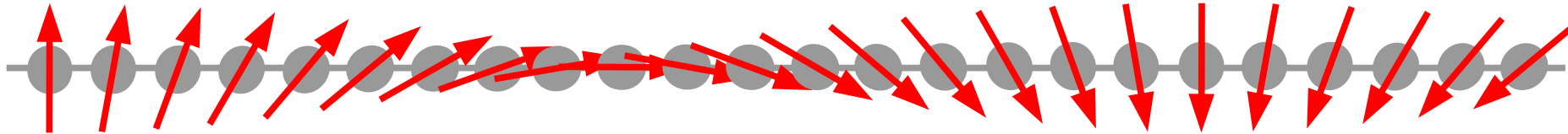
Magnon: wave-like deviations of spins

# Collective excitations

## Ground state



## Spin wave



Magnon: wave-like deviations of spins

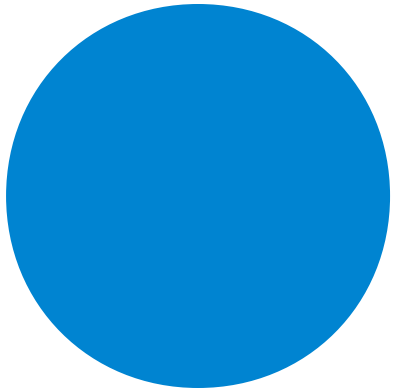
Plasmon: electron density displacements

Phonon: wave of atom displacements

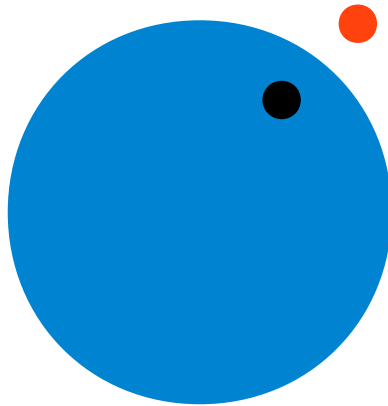
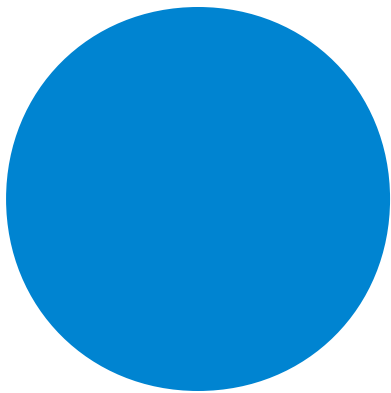
Exciton: electron and hole bound

Polariton: electron and photon bound

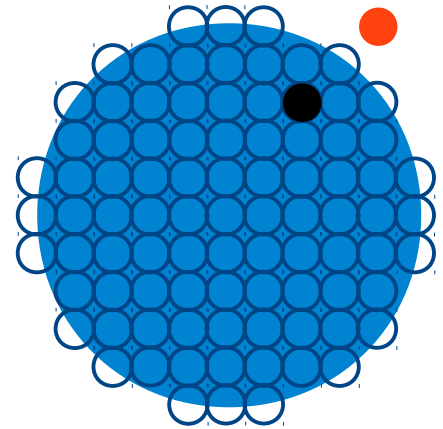
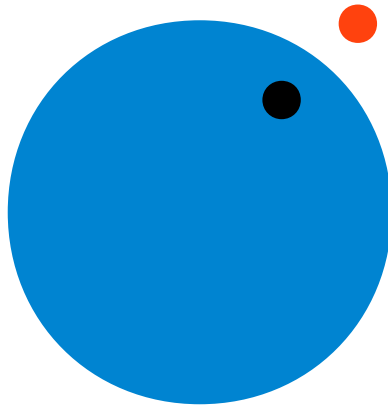
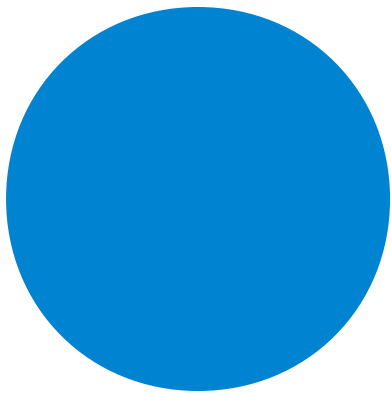
# Quasiparticles



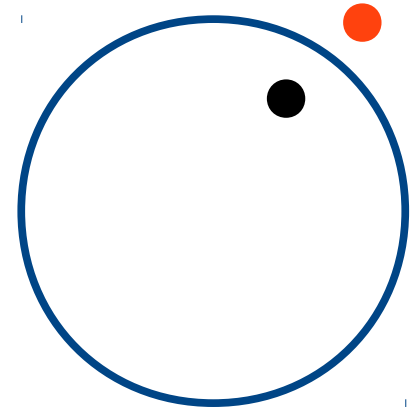
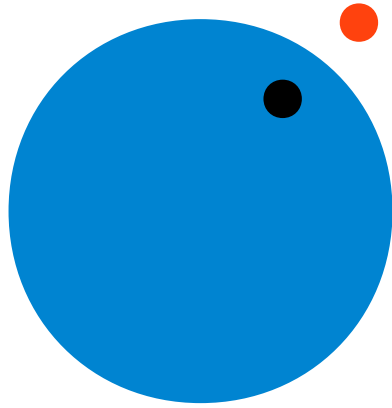
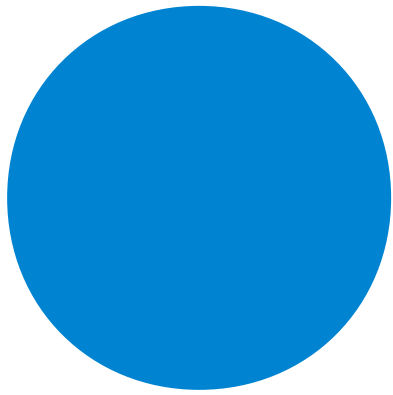
# Quasiparticles



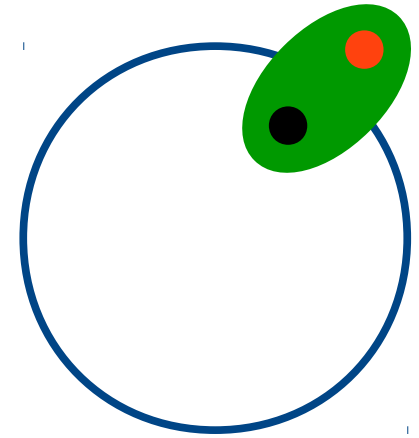
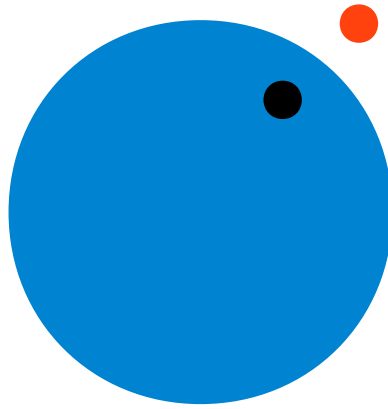
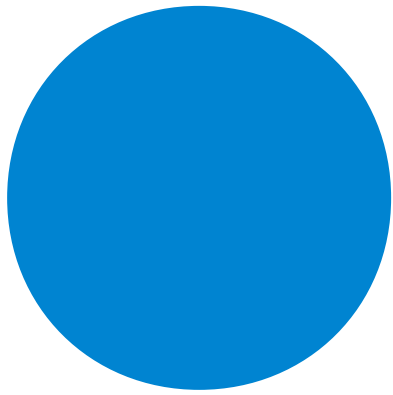
# Quasiparticles



# Quasiparticles



# Quasiparticles





# Outline of lectures

1) Concepts in many-body physics

# Outline of lectures

- 1) Concepts in many-body physics
- 2) Second quantization
- 3) Interactions
- 4) Correlation functions
- 5) Feynman diagrams

# Books

## **Basic general**

*Principles of the Theory of Solids*, Ziman (1979)

*Solid State Physics*, Ashcroft & Mermin (1976)

*Introduction to Solid State Physics*, Kittel (1996)

## **Advanced many-body theory**

*Quantum Theory of Solids*, Kittel (1987)

*Quantum Field Theory in Condensed Matter Physics*, Nagaosa (1999)

*Condensed Matter Field Theory*, Altland & Simons (2010)